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# TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

Applicant

Kawai et al.

App. No

09/761,532

Filed

January 16, 2001

For

OPTICAL SIGNAL TRANSMISSION

DEVICE

Examiner

D. S. Kim

Art Unit

2633

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 14, 2004

Eric M. Nelson, Reg. No. 43,829

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement and PTO/SB/08 equivalent listing references for (X) consideration:
  - (X) Listing seven references.
  - (X) Enclosing seven references.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- Return prepaid postcard. (X)

Eric M. Nelson

Registration No. 43,829

Attorney of Record

Customer No. 20,995

(619) 235-8550



# INFORMATION DISCLOSURE STATEMENT

Applicant: Kawai et al.

App. No : 09/761,532

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Examiner : D. S. Kim

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December 14, 2004

(Date)

cric M. Nelson, Reg. No. 43,829

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant listing seven references to be considered by the Examiner. Also enclosed are seven copies of foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement. Applicant submits a partial translation of JP 64-5043, which provides a concise explanation of the Japanese reference with respect to the above-identified patent application.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance. Thus, no fee is required as set forth below in 37 C.F.R. §§ 1.97(c)(1) and 1.97(e)(1). If either a final Office Action on the merits or a Notice of Allowance was mailed before the mailing date of this Statement, the Commissioner is hereby authorized to charge any surcharge to Deposit Account No. 11-1410.

Appl. No.

09/761,532

January 16, 2001

Docket No. NAGAT9.001AUS

Customer No. 20,995

# CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

hereby certify that each item of information contained in this Statement was first cited in munication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

By:\_

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 12/19/198

Eric M. Nelson

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# INFORMATION DISCLOSURE TATEMENT BY APPLICANT

Application No. 09/761,532

Filing Date January 16, 2001

First Named Inventor Kawai et al.

Art Unit 2633

Examiner D. S. Kim

Attorney Docket No. NAGAT9.001AUS

Multiple sheets used when necessary)

SHEET 1 OF 1

¥900-	5	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear				
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>		
	1	JP 64-5043	1/12/1989					
	2	JP 09-175493	1/7/1997	Kawai et al.				
	3	JP 11-198690	7/27/1999	Kawai et al.				
	4	JP 11-059283	3/2/1999	Oya et al.				
	5	JP 10-013346	1/16/1998	Oshinomi et al.				
	6	JP 05344069	12/24/1993	Tomoaki et al.				
	7	DE 19741595	4/1/1999					
-								

NON PATENT LITERATURE DOCUMENTS							
Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T¹					
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**Examiner Signature** 

**Date Considered** 

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.